

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----X		
	:	
In re	:	Chapter 11 Case No.
	:	
LEHMAN BROTHERS HOLDINGS INC., et al.,	:	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	
	:	
-----X		
		Ref. Docket Nos. 40797, 40935, 40937

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 6, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
8th day of November, 2013

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HSEC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH
CONTACT: SARAH CHAN, DIRECTOR
LEVEL 13 & 14
1 QUEEN'S ROAD
CENTRAL HONG KONG

Additional:

Transferee: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE
ATTN: SARAH CHAN/DIRECTOR
LEVEL 13 & 14
1 QUEEN'S ROAD CENTRAL
HONG KONG HONG KONG

Your transfer of claim # 56669-03 is defective for the reason(s) checked below:

Amount On Transfer Agreement Does Not Match

Docket Number 40935

Date 11/01/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 6, 2013.

EXHIBIT B

TIME: 14:41:43
DATE: 11/06/13

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

Name	Address
HEGEMON FUND I, LLC	PO BOX 1810 TAMPA FL 33601-1810
HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE	ATTN: SARAH CHAN/DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG HONG KONG
HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE BRANCH, THE	ATTN: SARAH CHAN/DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG HONG KONG
HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH	CONTACT: SARAH CHAN, DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG
HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH	CONTACT: SARAH CHAN, DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG
PLAZA HARBOUR MEZZ HOLDINGS LLC	ATTN: KEVIN CHIN, C/O PCCP LLC 444 MADISON AVE, 27TH FLOOR NEW YORK NY 10022

Total Number of Records Printed 6